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# Proximity extension assay in cerebrospinal fluid identifies neurofilament light chain as biomarker of neurodegeneration in sporadic cerebral amyloid angiopathy

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## Abstract

**Background** Sporadic cerebral amyloid angiopathy (sCAA) is a disease characterised by the progressive deposition of the amyloid beta (A $\beta$ ) in the cerebral vasculature, capable of causing a variety of symptoms, from (mild) cognitive impairment, to micro- and major haemorrhagic lesions. Modern diagnosis of sCAA relies on radiological detection of late-stage hallmarks of disease, complicating early diagnosis and potential interventions in disease progression. Our goal in this study was to identify and validate novel biomarkers for sCAA.

**Methods** We performed a proximity extension assay (PEA) on cerebrospinal fluid (CSF) samples of sCAA/control participants ( $n=34/51$ ). Additionally, we attempted to validate the top candidate biomarker in CSF and serum samples ( $n=38/26$ ) in a largely overlapping validation cohort, through analysis with a targeted immunoassay.

**Results** Thirteen proteins were differentially expressed through PEA, with top candidate NFL significantly increased in CSF of sCAA patients ( $p < 0.0001$ ). Validation analyses using immunoassays revealed increased CSF and serum NFL levels in sCAA patients (both  $p < 0.0001$ ) with good discrimination between sCAA and controls (AUC: 0.85; AUC: 0.79 respectively). Additionally, the CSF: serum NFL ratio was significantly elevated in sCAA ( $p = 0.002$ ).

**Discussion** Large-scale targeted proteomics screening of CSF of sCAA patients and controls identified thirteen biomarker candidates for sCAA. Orthogonal validation of NFL identified NFL in CSF and serum as biomarker, capable of differentiating between sCAA patients and controls.

**Keywords** Cerebral amyloid angiopathy, Cerebrospinal fluid, Proteomics, Neurofilament light chain, Proximity extension assay, Biomarkers

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## Background

Cerebral amyloid angiopathy (CAA) is an age-related, progressive cerebrovascular disorder characterized by the accumulation of amyloid-beta ( $A\beta$ ) peptides in the walls of cerebral blood vessels [1]. This pathological deposition of  $A\beta$ , derived from the proteolytic cleavage of the amyloid precursor protein (APP), disrupts the architecture of the vessel walls. These deposits primarily affect small to medium-sized arteries, arterioles, and capillaries in the brain. The presence of  $A\beta$  deposits in the vessel walls renders them more susceptible to rupture, which may result in spontaneous intracerebral haemorrhage as well as other haemorrhagic manifestations [2]. In addition to the risk of haemorrhage, CAA has been associated with cognitive impairment and dementia. CAA is known to coincide with other neurodegenerative diseases, including Alzheimer's disease (AD), which may complicate the clinical picture [3].

The clinical diagnosis of CAA can be challenging due to its overlapping features with other (neurodegenerative) diseases, such as AD and deep perforating vasculopathy. Definitive diagnosis can only be made based on pathological analysis of brain tissue, which complicates sCAA diagnosis in life. Neuroimaging techniques (including magnetic resonance imaging (MRI) and computed tomography) are able to diagnose sCAA with relatively high probability by visualizing characteristic features associated with sCAA, such as lobar cerebral microbleeds, cortical superficial siderosis, and convexity subarachnoid haemorrhages [4]. However, these imaging modalities are only capable of diagnosing sCAA in a late stage of disease, necessitating the development of more specific diagnostic tools to diagnose disease in earlier stages of disease [5, 6]. To address this challenge, biomarkers for CAA may be found in cerebrospinal fluid (CSF) [7]. Untargeted and unbiased approaches, such as untargeted mass spectrometry-based proteomics and larger multiplex protein arrays, have revolutionized the large-scale screening of protein biomarker candidates for all kinds of (neurodegenerative) diseases [8].

In this study, we have applied a targeted, multiplex proximity extension assay (PEA) to CSF of sporadic cerebral amyloid angiopathy (sCAA) patients and control subjects. PEA enables simultaneous measurement of a vast set of protein biomarkers in CSF. Additionally, we have attempted to validate these findings using targeted immunoassays for the most prominent biomarker candidate, neurofilament light chain (NFL).

## Methods

### Human subjects

sCAA patients ( $n=44$ ) were included at the Radboud University Medical Center (RUMC) in Nijmegen, the Netherlands. Most of the sCAA patients ( $n=42$ ) were

enrolled through cross-sectional cohort studies (Cerebral Amyloid Angiopathy Vascular Imaging and fluid markers of Amyloid deposition (CAVIA), BIOMarkers for cogNitive Impairment due to Cerebral amyloid angiopathy (BIONIC), aimed at identifying new CSF biomarkers for sCAA at the RUMC (Website: [www.radboudumc.nl/BCS](http://www.radboudumc.nl/BCS)) [9–13]. The two remaining sCAA patients were identified through routine diagnostic workflow at the hospital. Participants were included after receiving a diagnosis of probable CAA based on the modified Boston Criteria [14]. All sCAA participants included in this study were diagnosed with probable sCAA and subsequently underwent a comprehensive assessment that included clinical and neuropsychological tests (including the Montreal Cognitive Assessment or MoCA), venipunctures and lumbar punctures, and 3.0 Tesla brain MRI. Further details on MRI are described in [13].

Patients were assessed on the following (small vessel) disease markers: presence of ICH, number and distribution of cerebral microbleeds (CMBs), presence and extent of cortical superficial siderosis (CSS; 0=no CSS, 1=focal CSS, 2=disseminated CSS), presence and extent of enlarged perivascular spaces (EPVS) in the centrum semi-ovale (CSO; using a dichotomized classification: high ( $\geq 21$  EPVS) or low ( $\leq 20$  EPVS)) and white matter hyperintensities (WMH) according to the Fazekas Scale (ranging from 0 to 3). Using these four parameters, we calculated a summary score of SVD markers in sCAA, referred to as CAA-related SVD burden score, ranging from 0 to 6 points [29].

We included 52 control participants in this study. Among them, 27 were enrolled through the CAFE study and underwent exactly the same investigations as the sCAA patients in these studies [13]. Inclusion criteria were age  $\geq 55$  years, a MoCA score  $> 28$  or a modified Telephone Interview of Cognitive Status (mTICS) score of  $\geq 35$ . Additional exclusion criteria for the controls included self-reported cognitive decline, and a history of major brain pathology such as spontaneous parenchymal intracerebral haemorrhage, ischemic stroke, neurodegenerative disease, brain tumours, brain infection or inflammation. The remaining 25 controls underwent lumbar punctures as part of diagnostic workup of suspected neurologic symptoms or to rule out central nervous system involvement in systemic diseases. None of these 25 participants suffered from the suspected neurological disease, known cognitive impairment, recent stroke (within the last 6 months), sepsis, or central nervous system malignancies.

CSF was collected through means of a lumbar puncture. CSF was collected in polypropylene tubes, centrifuged, aliquoted, and stored in polypropylene tubes at  $-80$  °C. Serum was collected through venipuncture, and collected in polypropylene tubes, centrifuged, aliquoted

**Table 1** Demographics and CSF biomarker profiles of sCAA patients and control subjects in the PEA exploration study

	CON	sCAA	p-value
# patients (n)	50	34	-
Age (y)	71.8 (68.5–74.9)	73.4 (68.2–77.2)	$p=0.84$ (ns) <sup>a</sup>
Sex M/F (%M)	25/25 (50%)	18/16 (53%)	$p=0.79$ (ns) <sup>c</sup>
MoCA <sup>d</sup>	28 (26.5–29)	24 (21–26)	$p < 0.0001$ (****) <sup>b</sup>
<b>CSF biomarkers</b>			
Aβ40 (pg/mL)	11760 (8961–14736)	7592 (6337–9037)	$p < 0.0001$ (****) <sup>a</sup>
Aβ42 (pg/mL)	914 (565–1238)	353 (289–425)	$p < 0.0001$ (****) <sup>b</sup>
t-tau (pg/mL)	327 (233–485)	412 (280–632)	$p = 0.02$ (*) <sup>b</sup>
p-tau (pg/mL)	39.7 (30.1–55.5)	55.4 (35.9–76.3)	$p = 0.01$ (*) <sup>b</sup>

Data is presented as median (interquartile range). <sup>a</sup> Student's t-test, <sup>b</sup> Mann-Whitney U test, <sup>c</sup> Chi-square, <sup>d</sup> scoring available for respectively 25 (controls) and 28 (sCAA) participants. MoCA=Montreal Cognitive Assessment, t-tau=total tau, p-tau=tau phosphorylated at threonine 181, \*\*\*\*  $p < 0.0001$ , \*  $p \leq 0.05$ , ns=non-significant

and stored at -80 °C. This study was performed in accordance with the 1964 Declaration of Helsinki and later amendments and was approved by the Medical Ethics Committee Arnhem-Nijmegen (2014–1401, 2017–3810 and 2017–3605 respectively).

**Subject selection for PEA analysis**

For the PEA analysis, 34 sCAA patients ( $n=28$  BIONIC,  $n=4$  CAVIA,  $n=2$  through routine diagnostics) and 50 controls ( $n=25$  CAFE,  $n=25$  through routine diagnostics) were analysed. Subjects were age- and sex matched ( $p=0.84$  and  $p=0.79$ ). Subject characteristics of the exploration study are described in Table 1. CSF biomarkers showed a typical sCAA profile, with decreased levels of Aβ40 and Aβ42 levels in sCAA (both  $p < 0.001$ ), and minor, but significant increases in levels of total tau

(t-tau) and tau phosphorylated at threonine-181 (p-tau) ( $p=0.02$  and  $p=0.01$  respectively).

**Subject selection for ELLA NFL analysis**

For validation purposes, NFL was analysed in CSF and serum of 38 sCAA patients (all BIONIC, including 10 samples that were not subjected to PEA analysis) and 26 controls (all CAFE, including 1 sample that was not subjected to PEA analysis). The resulting groups were matched for age ( $p=0.99$ ) and sex ( $p=0.92$ ). Characteristics of the participants in the validation group are shown in Table 2.

**CSF and serum analysis of amyloid β, tau and albumin**

CSF was analysed for AD CSF biomarkers Aβ40, Aβ42, t-tau, and p-tau, all measured using a Lumipulse chemiluminescent assay (Fujirebio, Belgium). CSF (5x diluted) and serum albumin (400x diluted) were determined using an Atellica NEPH 630 nephelometric assay (Siemens Healthineers, Erlangen, Germany).

**Proximity extension assay**

A multiplex PEA was performed using the Olink® Explore 384 Neurology panel (Olink, Uppsala, Sweden). This assay consists of 367 neurology-associated proteins (full list can be retrieved from <https://olink.com/products-services/explore/>). Data was expressed as normalised protein expression (NPX) values. NPX values are relative expression values which have been log2 transformed to normalize data and to minimize intra- and inter-assay variation. Analytes were included for further analysis if signals exceeded the limit of detection (LoD) for  $\geq 70\%$  of samples of the sCAA and/or control groups. Fold-changes of expression levels were examined by  $2^{(\Delta NPX)}$ , in which  $\Delta NPX$  is defined as median  $NPX_{sCAA} - NPX_{CON}$  values.

**Table 2** Demographics and CSF/serum biomarker levels of sCAA patients and control subjects in the validation study

	CON	sCAA	p-value
# patients (n)	26	38	-
Age (y)	71.4 (69.2–74.9)	72.9 (67.3–75.8)	$p=0.99$ (ns) <sup>a</sup>
Sex M/F (%M)	14/12 (54%)	19/18 (51%)	$p=0.92$ (ns) <sup>c</sup>
MoCA	28 (27–29)	24.5 (21–27)	$p = 0.0002$ (****) <sup>b</sup>
<b>CSF/serum biomarker levels</b>			
CSF Aβ40 (pg/mL)	12,713 (10,782–15,387)	7901 (6553–9427)	$p < 0.0001$ (****) <sup>a</sup>
CSF Aβ42 (pg/mL)	1116 (828–1348)	367 (288–457)	$p < 0.0001$ (****) <sup>b</sup>
CSF t-tau (pg/mL)	356 (277–485)	428 (284–572)	$p=0.10$ (ns)
CSF p-tau (pg/mL)	41.3 (33.3–59.5)	55.9 (35.9–71.6)	$p=0.08$ (ns)
CSF ELLA NFL (pg/mL)	1306 (806–1482)	2284 (1526–3464)	$p < 0.0001$ (****) <sup>b</sup>
Serum ELLA NFL (pg/mL)	28.8 (24.9–33.7)	43.3 (31.7–66.8)	$p < 0.0001$ (****) <sup>b</sup>
Q <sub>NFL</sub> (CSF NFL/serum NFL)	39.1 (30.5–49.3)	51.3 (42.2–74.2)	$p = 0.002$ (**) <sup>b</sup>
Q <sub>ALB</sub> (CSF albumin / serum albumin *10E-3)	6.6 (5.5–7.8)	6.2 (5.3–8.2)	$p=0.88$ (ns)

<sup>a</sup> Student's t-test, <sup>b</sup> Mann-Whitney U test, <sup>c</sup> Chi-square. MoCA=Montreal Cognitive Assessment, Aβ=amyloid beta, t-tau=total tau, p-tau=tau phosphorylated at threonine 181, NFL=neurofilament light chain, ALB=albumin \*\*\*\*  $p < 0.0001$ , \*\*\*  $p < 0.001$ , \*\*  $p \leq 0.01$ , ns=non-significant

### ELLA NFL assay

An ELLA automated immunoassay system (Biotechne, Minneapolis, MN, USA), was used to analyse human CSF and serum NFL levels. In this assay, 25  $\mu$ L of CSF or serum was diluted twofold with reagent diluent to a total volume of 50  $\mu$ L prior to pipetting the solution in the analysis cartridge. The assay ran automated analyses in technical triplicate.

### Data analysis

Statistical analysis was performed using Graphpad Prism 9.5.0 (Graphpad Software, USA) and RStudio v.2022.02.1. Statistical significant differences were defined at  $p \leq 0.05$  (\*),  $p \leq 0.01$  (\*\*),  $p \leq 0.001$  (\*\*\*), and  $p < 0.0001$  (\*\*\*\*). (Non-)parametric data were assessed using Student's t-tests and Mann-Whitney U tests respectively. Spearman correlation analyses were performed to assess associations between variables. Logarithmic regression analysis was performed to assess the relationship between CSF and serum NFL levels in subjects. The ratios of CSF to serum NFL were computed as  $Q_{NFL}$  levels. This method was also applied to calculate the  $Q_{ALB}$  (ratio of CSF and serum albumin levels\*10E-3). Receiver operating characteristic (ROC) curves were constructed to determine the ability of biomarkers to differentiate between sCAA patients and controls. ROC curves were compared using DeLong's test [15].

### Results

Of the 367 proteins included in the PEA exploration panel, 263 (72%) presented expression levels greater than respective LoD in >70% of either sCAA and/or control groups. Of these 263, 13 proteins presented differential expression levels between sCAA patients and control subjects Table 3; Fig. 1. In descending order of significance: neurofilament light chain (NFL;  $p < 0.0001$ ) Fig. 2A, a disintegrin with metalloproteinase domain-containing

protein 8 (ADAM8;  $p = 0.001$ ), apoptosis regulator BAX (BAX;  $p = 0.009$ ), matrix metalloproteinase-8 (MMP8;  $p = 0.01$ ), chymotrypsinogen B1 (CTRB1;  $p = 0.01$ ), Ras homolog gene family, member C (RHOC;  $p = 0.02$ ), chemokine (C-X-C motif) ligand 13 (CXCL13;  $p = 0.03$ ), carboxypeptidase A2 (CPA2;  $p = 0.03$ ), milk fat globule-EGF factor 8 (MFGE8;  $p = 0.03$ ), coiled-coil and C2 domain-containing protein A1 (CC2D1A;  $p = 0.03$ ), UL16-binding protein 2 (ULBP2;  $p = 0.04$ ), macrophage scavenger receptor 1 (MSR;  $p = 0.05$ ), and urokinase plasminogen activator (uPA;  $p = 0.05$ ). For two of these proteins, BAX and CXCL13, respectively 19% and 14% of the data points collected were lower than the LoD.

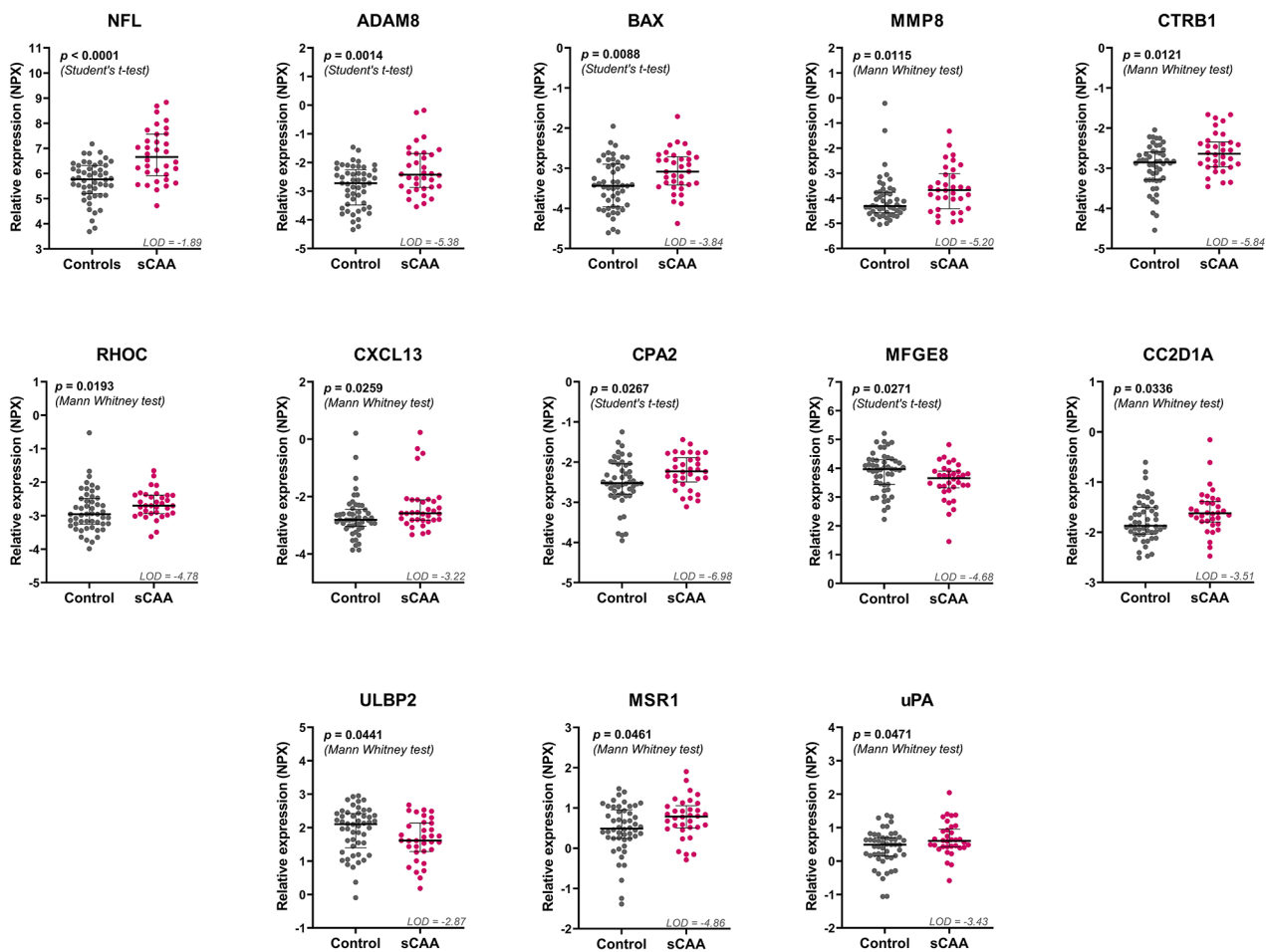
Correlation analyses between the 13 differentially expressed proteins, and clinical and imaging sCAA measures (age of participants, score on the MOCA, presence of ICH, number of CMB, presence/extent of cSS, CAA SVD) revealed significant associations (Figure S1). Eight proteins significantly correlated with age (NFL, MMP8, RHOC, CXCL13, CPA2, CC2D1A, MSR1, uPA). MoCA scores significantly correlated with ULBP2 ( $r_{sp} = 0.39$ ;  $p = 0.004$ ), and NFL ( $r_{sp} = -0.37$ ;  $p = 0.006$ ). Positive correlations with the number of CMBs existed for NFL ( $r_{sp} = 0.44$ ;  $p = 0.001$ ), ADAM8 ( $r_{sp} = 0.40$ ;  $p = 0.005$ ), and MMP8 ( $r_{sp} = 0.38$ ;  $p = 0.007$ ), whereas MFGE8 displayed a negative correlation ( $r_{sp} = -0.46$ ;  $p = 0.0008$ ). The degree of cSS correlated positively with NFL ( $r_{sp} = 0.35$ ;  $p = 0.002$ ). Lastly, NFL ( $r_{sp} = 0.54$ ;  $p < 0.0001$ ) and MFGE8 ( $r_{sp} = -0.45$ ;  $p = 0.001$ ) correlated significantly with the CAA SVD-burden score.

ELLA analyses revealed significant elevations of CSF NFL levels in sCAA (median 2284 pg/mL) as compared to controls (median: 1306 pg/mL) ( $p < 0.0001$ ) (Table 2; Fig. 2B). Similarly, serum NFL levels were significantly elevated in sCAA (median: 43.3 pg/mL) vs. controls (28.8 pg/mL;  $p < 0.0001$ ) (Table 2; Fig. 2C). Correlation analyses of the PEA NPX.

**Table 3** Overview of proteins which were significantly different between sCAA patients and control subjects

Protein	Gene	CON NPX	sCAA NPX	FC sCAA/CON	P-value
Neurofilament light chain	<i>Nfil</i>	5.77 (5.19 : 6.32)	6.66 (5.91 : 7.57)	1.85	$p < 0.0001$ (****) <sup>a</sup>
ADAM metalloproteinase domain 8.	<i>Adam8</i>	-2.72 (-3.48 : -2.25)	-2.43 (-2.87 : -1.69)	1.23	$p = 0.001$ (***) <sup>a</sup>
Apoptosis regulator BAX	<i>Bax</i>	-3.44 (-3.96 : -2.90)	-3.09 (-3.42 : -2.72)	1.28	$p = 0.009$ (**) <sup>a</sup>
Matrix metalloproteinase-8	<i>Mmp8</i>	-4.31 (-4.58 : -3.76)	-3.67 (-4.42 : -3.01)	1.55	$p = 0.01$ (*) <sup>b</sup>
Chymotrypsinogen B1	<i>Ctrb1</i>	-2.85 (-3.30 : -2.60)	-2.64 (-2.96 : -2.35)	1.16	$p = 0.01$ (*) <sup>b</sup>
Ras homolog gene family, member C	<i>Rhoc</i>	-2.96 (-3.26 : -2.49)	-2.70 (-2.94 : -2.70)	1.19	$p = 0.02$ (*) <sup>b</sup>
Chemokine (C-X-C motif) ligand 13	<i>Cxcl13</i>	-2.81 (-3.03 : -2.45)	-2.58 (-2.82 : -2.11)	1.17	$p = 0.03$ (*) <sup>b</sup>
Carboxypeptidase A2	<i>Cpa2</i>	-2.53 (-2.80 : -2.05)	-2.23 (-2.50 : -1.89)	1.23	$p = 0.03$ (*) <sup>a</sup>
Milk fat globule-EGF factor 8 protein	<i>Mfge8</i>	3.97 (3.44 : 4.29)	3.66 (3.32 : 3.90)	0.81	$p = 0.03$ (*) <sup>a</sup>
Coiled-coil and C2 domain-containing protein 1 A	<i>Cc2d1a</i>	-1.87 (-2.04 : -1.50)	-1.62 (-1.80 : -1.39)	1.19	$p = 0.03$ (*) <sup>b</sup>
UL16-binding protein 2	<i>Ulbp2</i>	2.11 (1.40 : 2.42)	1.61 (1.29 : 2.14)	0.71	$p = 0.04$ (*) <sup>b</sup>
Macrophage scavenger receptor 1	<i>Msr1</i>	0.49 (0.24 : 0.96)	0.79 (0.51 : 1.06)	1.23	$p = 0.05$ (*) <sup>b</sup>
Urokinase plasminogen activator	<i>Plau</i>	0.49 (0.15 : 0.70)	0.60 (0.42 : 0.95)	1.08	$p = 0.05$ (*) <sup>b</sup>

Relative NPX values of sCAA patients and controls (CON) are displayed as median with interquartile range (IQR). Fold-change (FC) was calculated using the formula  $FC = 2^{\Delta NPX}$ . <sup>a</sup> Student's t-test, <sup>b</sup> Mann-Whitney U test. \*\*\*\*  $p < 0.0001$ , \*\*\*  $p < 0.001$ , \*\*  $p < 0.01$ , \*  $p < 0.05$



**Fig. 1** Scatter plots of proximity extension assay (PEA) biomarker candidates in CSF of sCAA patients compared to controls. PEA analysis in CSF of sCAA patients and controls produced 13 significantly differential protein biomarkers. Statistical testing was performed using Student's t-tests (parametric data) and Mann-Whitney U tests (non-parametric data). The LoD of each protein is indicated in the graphs and presented as dashed line (in case the LoD was within the plotted y-axis range)

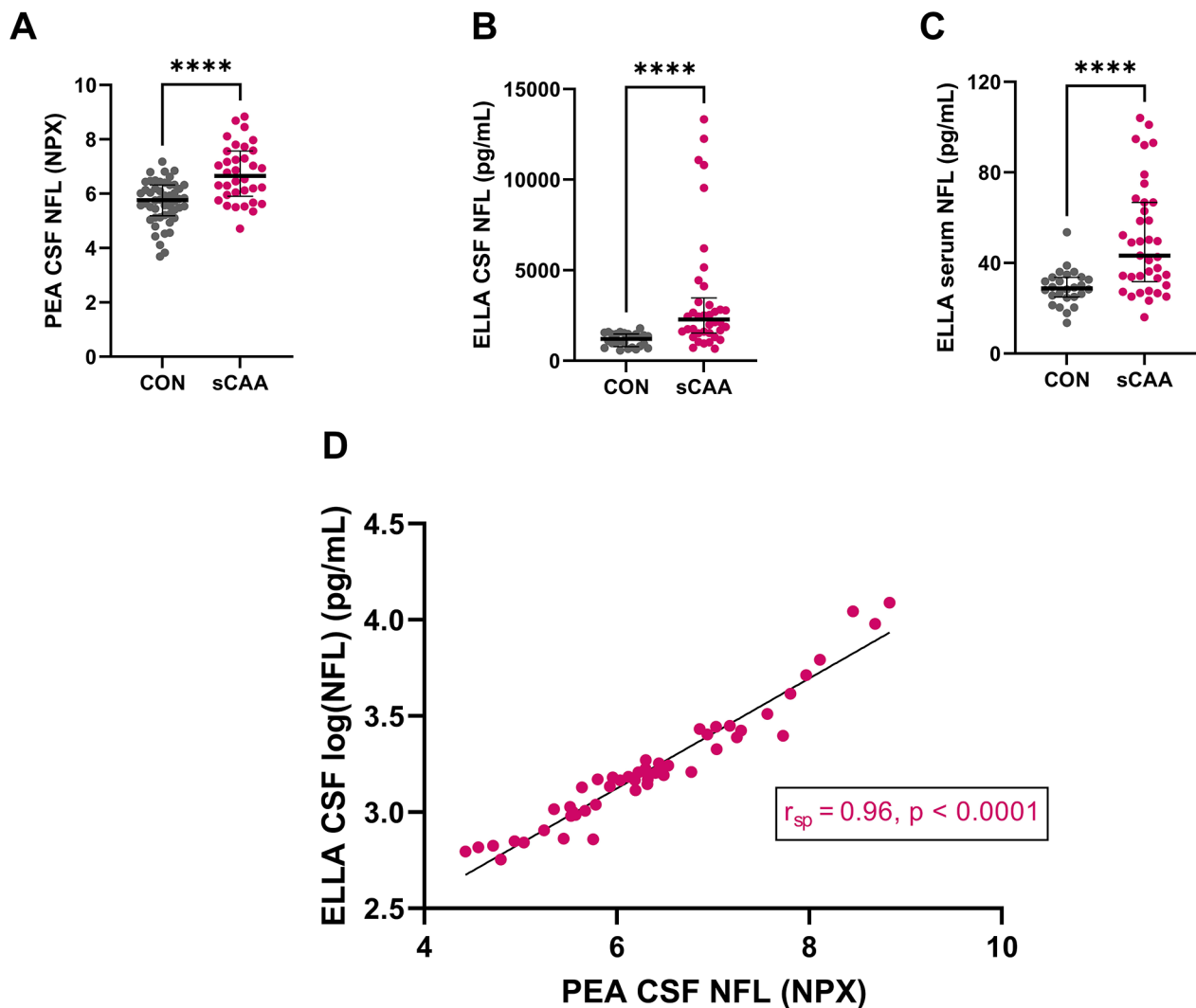
NFL values and log-transformed ELLA CSF NFL results showed a strong degree of correlation ( $r_{sp} = 0.96$ ,  $p < 0.0001$ ) (Fig. 2D).

Whereas at lower CSF NFL levels there appeared to be a directly proportional relationship between CSF and serum NFL levels, this was not the case at higher CSF NFL levels. An apparent logarithmic relationship existed between CSF NFL and serum NFL concentrations over the full concentration range, with an  $R^2$  of 0.70. (Figure 3).

Correlation analyses between ELLA CSF NPX levels and available clinical and imaging measures revealed identical patterns to the associations observed for PEA NFL levels (Figure S2): a negative significant correlation was found between CSF NFL levels and MOCA scores ( $r_{sp} = -0.37$ ;  $p = 0.003$ ). Positive, significant correlations were discovered between CSF NFL levels and the number of CMBs ( $r_{sp} = 0.48$ ;  $p < 0.0001$ ), the degree of cSS ( $r_{sp} = 0.42$ ;  $p = 0.0006$ ), and the CAA SVD-burden score ( $r_{sp} =$

0.45;  $p = 0.0002$ ) respectively. Contrasting with the exploration study, no significant correlation between CSF NFL and age of participants was found in the validation study.

ROC curves revealed good separation between sCAA patients and control subjects using PEA CSF NFL (AUC=0.78), ELLA CSF NFL (AUC=0.85) and serum NFL levels (AUC=0.79) (Fig. 4A). Combinations of PEA differentially expressed proteins did not provide better discrimination than NFL between sCAA and controls [data not shown]. A $\beta$ 40 demonstrated good discrimination ability between sCAA patients and controls (AUC=0.89) (Fig. 4B). The combination of NFL with A $\beta$ 40 did not significantly improve discrimination ability compared to A $\beta$ 40, between sCAA patients and control subjects (AUC=0.96,  $p = 1.00$ ). The ROC curve for A $\beta$ 42 showed good discrimination performance (AUC=0.97), but this did not improve for A $\beta$ 42+NFL (AUC=0.99,  $p = 1.00$ ) (Fig. 4C).



**Fig. 2** Dot and scatter plots of CSF and serum NFL measurements. (A) Dot plot of PEA CSF NFL measurement in controls and sCAA patients. (B) Dot plot of ELLA CSF NFL measurement in controls and sCAA patients. (C) Dot plot of ELLA serum NFL measurement in controls and sCAA patients. (D) Scatter plot of PEA CSF NFL measurements against log-transformed ELLA NFL measurements in CSF.  $R_{sp}$  = Spearman correlation. \*\*\*\*  $p < 0.0001$

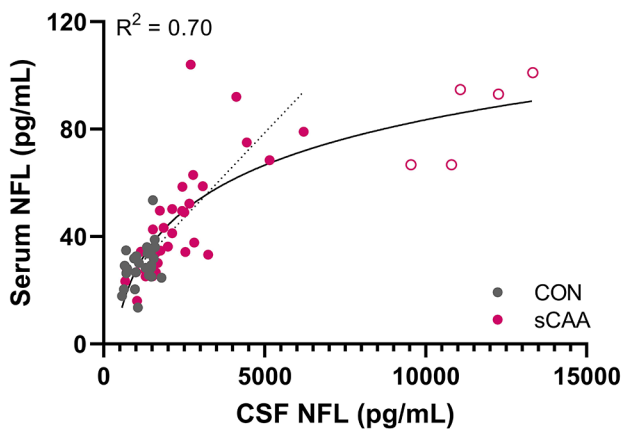
CSF: serum NFL ratios ( $Q_{NFL}$ ) were significantly increased in sCAA patients compared to control subjects ( $p=0.004$ ) (Fig. 5A).  $Q_{ALB}$  levels did not differ significantly between sCAA patients (median: 6.6) and controls (median: 6.2,  $p=0.88$ ) (Fig. 5B). ROC analysis of the  $Q_{NFL}$  discriminated CAA patients from control subjects with an AUC of 0.72 (Fig. 5C).

## Discussion

This multiplex biomarker discovery study identified multiple emerging CSF biomarker candidates for differentiation of sCAA from controls. We were able to identify NFL as biomarker in CSF and serum of sCAA patients compared to controls employing two independent techniques (PEA and ELLA).

NFL is one of the subunits of neurofilaments and plays a crucial role in maintaining the integrity of neuronal axons [16]. NFL has previously been reported to be elevated in plasma and CSF of sCAA patients compared to controls [17, 18]. NFL is a well-known serum/plasma/CSF biomarker of axonal damage in multiple forms of neurodegeneration (including multiple sclerosis, atypical parkinsonisms, frontotemporal dementia, amyotrophic lateral sclerosis, and prion diseases) [19–22]. NFL elevations are often associated with generalized neurodegeneration, rather than specifically with sCAA, which might limit its potential as a diagnostic biomarker for sCAA.

We found a large degree of correlation between measurements using PEA and the (log-transformed) ELLA NFL assay concerning the CSF analyses, which reinforces trueness of measurements, and in turn, increased the



**Fig. 3** Scatter plots on the relationship between CSF and serum ELLA NFL measurements. Scatter plots of CSF and serum NFL levels, in control subjects and sCAA patients. The lower end of the graph shows a relatively linear relationship (irrespective of CAA pathology) between CSF and serum NFL levels. However, a non-linear relationship appears between CSF and serum NFL levels, with higher CSF NFL levels ( $y = -141.2 + 56.2 \cdot \log(x)$ ). Solid line displays logarithmic regression through all data points, dashed line is linear regression (constructed excluding outliers, which are indicated as open dots)

robustness and reproducibility of results. Earlier studies already described a similar, very high degree of correlation between NFL measurements on automated ELLA and Simoa assays [23].

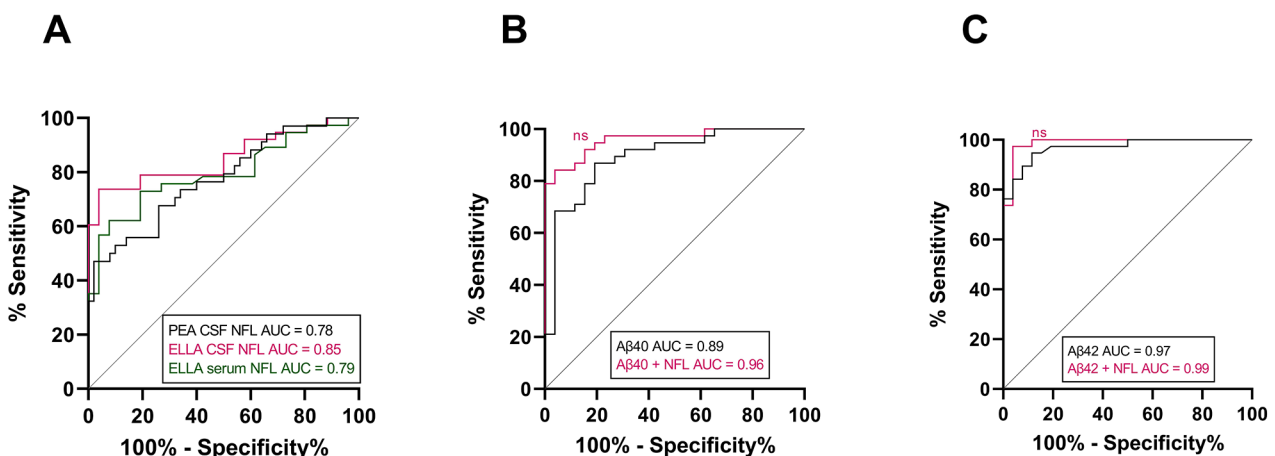
The relationship between CSF and serum NFL seemed to follow a non-linear pattern across the entire concentration range: whereas at low levels CSF NFL appeared to proportionally correlate to serum NFL, higher levels of CSF NFL seemed not to be proportionally reflected in equally higher serum NFL levels in our study. This might be considered as negative implications for the potential

use of serum NFL as a monitoring or prognostic biomarker. Such a potential non-linear relationship at high CSF NFL concentrations between CSF and serum NFL could also be noted in studies of other neurodegenerative diseases, such as Parkinson's disease [22], but was absent in other studies [24].

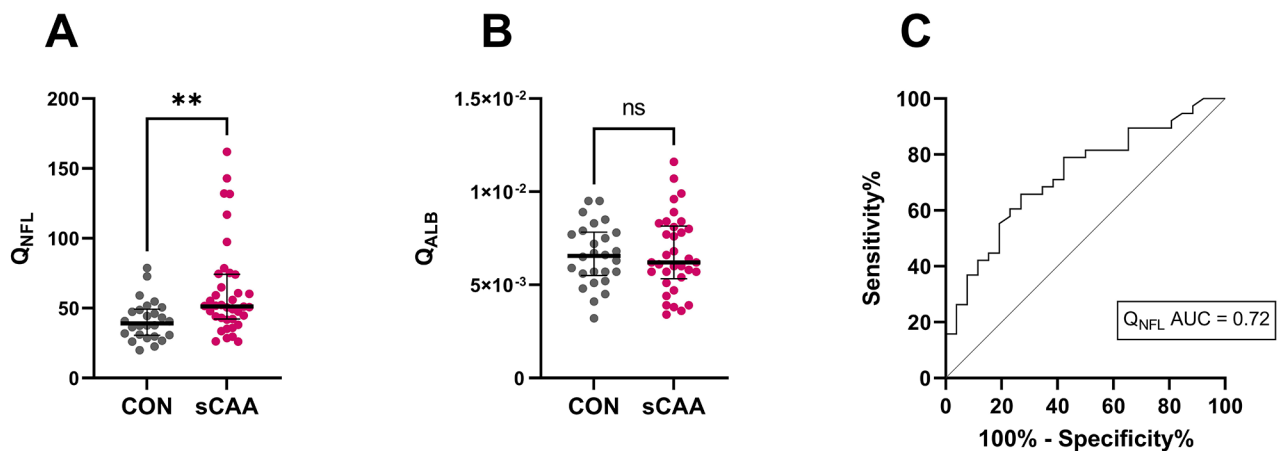
Additionally,  $Q_{NFL}$  was increased in sCAA compared to controls. The elevated  $Q_{NFL}$  suggests that in sCAA patients, NFL was present in CSF in a relatively higher proportion, compared to controls. We did not observe similar differences in  $Q_{ALB}$  between sCAA patients and controls. This could imply that the integrity of the blood-CSF barrier (for which  $Q_{ALB}$  is a proxy) remains unaltered and does not explain these observed differences in the  $Q_{NFL}$ . This would indicate that increased CSF NFL is not proportionally exchanged with serum, possibly through limited exchange of CSF NFL to blood in the arachnoid villi, or the possibility of dose-dependent degradation of NFL in the circulation.

CSF NFL levels were positively associated with neurovascular damage in the form of numbers of CMBs and cSS. Additionally, higher CSF NFL levels negatively correlated with MOCA scores. This suggests that neurovascular sCAA pathology incites and/or aggravates neurodegeneration, which in turn appears to have repercussions for the clinical presentation of sCAA patients, in the form of increased degrees of cognitive impairment.

Among the significantly different biomarkers that we identified, two confirmed results previously obtained by our team in comparable CSF immunoassay studies: milk fat globule-EGF 8 (MFGE8) and urokinase plasminogen activator (uPA) [11, 25]. MFGE8 (also known as lactadherin) is a secreted, extracellular matrix protein and is thought to contribute to a great variety of molecular



**Fig. 4** Receiver operating characteristic (ROC) curves on the discrimination ability between sCAA patients and controls. (A) ROC curves of PEA CSF, ELLA CSF and ELLA serum NFL levels of sCAA and controls. (B) ROC curves of CSF Aβ40 and CSF Aβ40 + ELLA NFL of sCAA and controls. Aβ40 + NFL did not show improved discrimination performance compared to Aβ40 ( $p = 1.00$ ). (C) ROC curves of CSF Aβ42 and CSF Aβ42 + ELLA NFL of sCAA and controls. No improved performance was observed for Aβ42 + NFL compared to Aβ42 ( $p = 1.00$ ). AUC = area under the curve. ns not significant



**Fig. 5** Dot and scatter plots on  $Q_{NFL}$  and  $Q_{ALB}$ . **(A)** Dot plot of  $Q_{NFL}$  in controls and sCAA patients. **(B)** Dot plot of  $Q_{ALB}$  in controls and sCAA patients. **(C)** ROC curve of  $Q_{NFL}$  in discriminating controls from sCAA patients. AUC=area under the curve, \*\*  $p \leq 0.01$ , ns not significant

and cellular interactions, including cellular adhesion and inhibition of coagulation [26]. Full length MFGE8 has also been investigated in the context of CAA pathology; it was found that it was overexpressed in CAA vessels, colocalizes with vascular  $A\beta$  deposits, and is decreased in CSF of CAA patients, compared to both AD and controls [25]. A small, 50 amino acid polypeptide fragment of MFGE8 (medin) also co-localized with vascular  $A\beta$ , and higher vascular MFGE8 expression levels have been associated with an increased degree of cognitive decline in AD [27]. uPA has a major role in the activation of plasminogen into plasmin, an important process in the initiation of thrombolysis. uPA was overexpressed by vascular smooth muscle cells under stimulation of APP [28]. Additionally, uPA was overexpressed in vascular tissue and CSF of transgenic APP rodent models for CAA and in sCAA patients [11]. Sidenote is that the sCAA population in this study in small part ( $n=9$ ) overlapped with the results in our PEA study, which might have influenced results.

In our study, we also uncovered significant differences in the expression of other biomarker candidates, such as ADAM8, MMP8, and MSR1 (all upregulated). ADAM8 has been implied to function as an alpha-secretase, involved in non-pathological processing of APP [29, 30]. Our observation of increased expression of ADAM8 in CSF of sCAA patients could point to disruption of physiologic processing of APP. MMP8 has to our knowledge not been studied in relation to CAA pathology [31]. However, in general, matrix metalloproteinases and their inhibitors appear to be involved in CAA pathology [10, 32]. Lastly, MSR1 is known to facilitate microglial phagocytosis of  $A\beta$  aggregates [33, 34]. MSR1-knockout mice have shown increased vascular amyloid pathology and decreased clearance rates of vascular amyloid, compared to wild-type mice [35]. Additionally, another similar PEA

study on CSF and plasma of AD patients revealed a negative correlation between CSF MSR1 levels and severity of AD pathology ranging from healthy controls to MCI and to AD [36]. Potential explanations for these contrasting results could be found in different study designs, and differential activation and affinity patterns of microglia for either vascular or parenchymal amyloid pathology. Other proteins which were found to be significantly differentially expressed in sCAA patients compared to control subjects (BAX, CC2D1A, CPA2, CTRB1, CXCL13, RHOC, ULBP2) have (to our knowledge) not previously been associated with CAA, AD or amyloidotic diseases in general, which warrants further research into their biomarker potential.

Strengths of our study include the use of cohorts of sCAA patients and control subjects which have been very well characterized clinically. Additionally, the replication of results using orthogonal analytical techniques for three biomarker candidates (NFL, MFGE8 [25] and uPA [11]) support the robustness of our findings. Lastly, the sizes of sCAA and control groups studied are relatively large, compared to most other CSF biomarker studies on sCAA in literature [10, 11, 26, 37, 38]. Weaknesses of this study include a partial overlap of patients with sCAA in study populations. Also, another weakness is the fact that in clinical practice, sCAA will often have to be differentiated from other neurological diseases, instead of differentiation between patients with sCAA and healthy controls. The absence of study populations with other neurological diseases (e.g. AD) in our study likely limited our assessment of the diagnostic potential of NFL as a biomarker for sCAA. In addition, the diagnostic value of NFL is limited since increased levels of NFL are observed in many disorders associated with neurodegeneration. It would be interesting, though, to longitudinally study (CSF or serum) NFL to assess its potential as a possible biomarker



of disease progression in CAA. Lastly, the lack of independent validation of identified biomarker candidates other than NFL, MFGE8 and uPA is also a limitation of our study [39]. This predisposes results to the false-positive identification of proteins as potential biomarkers and incentivises the need of validation of biomarker candidates in independent cohorts, or using independent analytical techniques. Therefore, further research will have to confirm or reject the biomarker potential of the remaining 10 CSF biomarker candidates.

In conclusion, our results show that screening PEA analyses are able to identify candidate biomarkers for CAA, which can be validated through use of orthogonal validation efforts. Additionally, NFL appears to be a very effective biomarker to distinguish sCAA patients from controls, but might have limited specificity for sCAA, due to its broader associations with more generalised neurodegeneration.

## Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s13195-024-01473-0>.

Supplementary Material 1

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## Author contributions

MV and HBK are joint first authors. MV, HBK, MMV were responsible for designing the study. AMdK, CJMK, FHBMS recruited and included the study participants and collected patient data. MV, IK, HBK collected the biomarker data and were responsible for data analysis. MV, HBK, MMV interpreted the results. MV wrote the manuscript draft. All authors reviewed and contributed to the manuscript and approved the final version.

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## Data availability

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

## Declarations

### Ethics approval and consent to participate

Lumbar punctures were performed after informed consent from the patients themselves or from the patients' legal representatives. Most participants underwent lumbar punctures in the context of cohort studies on biomarkers. All sCAA patients were included from the CAVIA (no. 733,050,202) and BIONIC (nr. 733,050,822) studies. Most control participants were included from the CAFE study (nr. 5R01NS104147-02), while some were included in the context of a routine diagnostic clinical work-up. This study was approved by the Medical Ethics Committee Arnhem-Nijmegen.

### Consent for publication

Not applicable.

### Competing interests

The authors declare they have no competing interests.

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